

## Obtaining the Socorro code

1. Follow the links from the home page to download socorro.tgz (a gzipped tar file).
2. On a unix file system, execute "gunzip socorro.tgz" to convert socorro.tgz to socorro.tar and then execute "tar -xvf socorro.tar" to unpack this file.
3. Read /socorro/LICENSE, which explains the conditions for copying and using Socorro (GNU General Public License). If you agree with these conditions, proceed to install the software.

## Installation

### 1. Required Software

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Socorro requires both a Fortran 90/95 compiler and a C compiler.

Several other software packages are also required:

- FFTW 2.1.5 (<http://www.fftw.org>). Newer versions won't work. We're in the process of switching the interface to support 3.0 and above. There also support for SGI FFT routines.
- BLAS library
- LAPACK library
- MPI library
- Gnu make or equivalent
- Perl

### 2. Obtain a make.conf file

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You will need to manually copy a make.conf from the makefiles directory to the socorro root directory (here). After that edit it to your hearts content.

Most of the variables are pretty obvious, but two critical variables are not. The

first, MPLIBS (Multi-Processor Libraries), should contain all the libraries needed for socorro including MPI. The other, UPLIBS (UniProcessor Libraries), should NOT contain anything related to MPI.

Once you've made a stab at configuring make.conf you are ready to continue.

### 3. Run configure

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Currently configure creates the files cpointer\_mod.f90 and ctof\_io.h. These file take into account the endian-ness of your machine and provide the C <-> Fortran interface passing data. It also creates all the local Makefiles.

To skip making the C <-> F90 interface add the option

```
--no-interface
```

when invoking configure. You will need to manually create or copy cpointer\_mod.f90 and ctof\_io.h in the src directory before running configure.

Configure is run by typing:

```
./configure
```

with the optional option mentioned above.

If this works fine you can skip to step 3.

Cross-compiling or C<->F90 problems

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If you are cross-compiling or mci doesn't work you will need to manually run the "mci" program to generate the 2 files mentioned above. You can do this by first changing to the tools/config directory and typing

```
cc -o mci make_c_interface.c
```

on the compile machine. Replace "cc" with your C compiler and any other misc options you need.

Next run the executable "mci" on the destination

machine. The machine you are going to be running socorro on. This will create the 2 files `cpointer_mod.f90` and `ctof_io.h`. These files should then be copied to the socorro source directory "src".

After you've done this re-run configure skipping the interface part:

```
./configure --no-interface
```

This will create the appropriate file links in the different directories.

## 4. Build Socorro

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Just type

```
make
```

and hope for the best. This will build socorro and also the routine `taginfo` located in `tools/taginfo`.

## Socorro input and output files

Socorro requires a set of input files, with the exact number depending on the type of calculation being performed, and a unix directory system. The input file names and the directories where the input files are located can be customized by editing `/socorro/src/path_mod.f90`. The only exception is the control file, which must be located in the run directory. The names of the output files can likewise be customized by editing `/socorro/src/path_mod.f90`, however their locations can only be the run directory. Descriptions of the various input and output files are given below using the default directories and names set in `path_mod.f90`.

Input files:

`argvf`: Parameters used to specify and control a run. The parameters are denoted with the tags described further below.

`data/crystal`: Lattice vectors, atom types, and atom positions. The format is:  
<identifier\_string>

<lattice constant>  
<lattice vector 1>  
<lattice vector 2>  
<lattice vector 3>  
one of ["cartesian", "lattice", or specific variations of these]  
<number\_of\_atoms>  
ATOM\_TAG <position in cartesian or lattice coordinates>  
ATOM\_TAG <position in cartesian or lattice coordinates>  
.....  
(EOF)

data/NCP.ATOM\_TAG: Data for the ATOM\_TAG norm-conserving pseudopotential.

data/PAW.ATOM\_TAG: Data for the ATOM\_TAG projector-augmented-wave functions.

data/kpoints: (optional) Sampling points in the Brillouin zone. The format is:  
<number of sampling points>  
one of ["cartesian", "lattice", or specific variations of these]  
<point in cartesian or lattice coordinates> <degeneracy>  
.....  
(EOF)

data/initial\_velocity: (optional) Initial velocities for an MD run. The format is:  
<velocity components for atom 1>  
<velocity components for atom 2>  
.....  
(EOF)

data/lgroup: (optional) Lattice point-group operations. The format is:  
<number of point operators> <number of translations per point operation>  
<space>  
<3x3 matrix denoting a point-group operator in lattice representation>  
.....  
(EOF)

data/restartf: (optional) Restart file.

data/stopf: (optional) File containing commands to halt a running program.  
<stop> causes a program to halt gracefully  
<abort> causes a program to halt as soon as possible

Output files:

errorf\_xxx: Error and warning messages for process xxx.

diaryf: Diary of the run.

new\_crystal: New crystal file after an update of the atom positions.

new\_velocity: New atom velocities after an MD update.

new\_kpoints: (optional) Brillouin zone sampling points generated using the Monkhorst-Pack scheme.

new\_lgroup: (optional) Lattice point-group operations.

new\_pgroup: (optional) Point-group operations of the space group.

new\_sgroup: (optional) Space-group operations.

new\_restartf: (optional) Restart file.

md\_trajectory: Atom positions during an MD run.

## Running test calculations

To run the test in /socorro/testdata/si\_ncp:

```
# cd run  
# .linktest si_ncp  
# ./socorro
```

To run the test in /socorro/testdata/paw\_si:

```
# cd run  
# .linktest paw_si  
# ./socorro
```

## Control tags

### tag formats

The lines below explain tags and their associated values which can be used to control a socorro calculation. To

use a tag, simply give it on a separate line in argvf followed by the tag value or values. For example, to specify a wavefunction cutoff of 20 Ryd add the following line to argvf:

```
wf_cutoff 20.0d0
```

Note that: Character parameters are generally accepted with three levels of capitalization, for example, NONE, None, none.

Logical parameters can be capitalized or not.

Energies should be given in Rydberg units.

Distances should be given in atomic units (Bohr radii).

Tag descriptions are grouped according to code areas, and the format for the descriptions is as follows:

```
tag: Meaning
    type; valid values or range of numerical values; default value
    modules where tag is sought; object where value is stored; name of variable
holding the value
    first option if tag is not found, second option if tag is not found, ...
    Note #1
    Note #2
    .
    .
    .
```

## Wavefunction related tags:

**wf\_cutoff:** Determines the number of plane waves in the wavefunction expansion.

real number;  $\geq 0.0$ ; no default

multibasis\_mod, layout\_mod (optional); protobasis\_obj; pb%cutoff

run aborts

Expansion includes plane waves for which  $(G + k)^2 < wf\_cutoff$ , where G is a wave vector and k is a sampling point

**nbands:** Number of bands (wavefunctions at a Brillouin zone sampling point).

integer;  $\geq$  total charge/2; no default

multibasis\_mod; protobasis\_obj; pb%nbands

run aborts

**kpoints:** Method of obtaining sampling points (k-points) in the Brillouin zone.

character; GMP, GBP, USP; GMP

kpoints\_mod; kpoints\_obj; kp%o%mode

default is used

For GMP (Generate Monkhorst Pack), a mesh is generated from mpparams (see below). These points are closed using the

lattice group and then reduced using the point group of the space group (augmented with inversion if it is not already present in the space group).

For GBP (Generate Baldereschi Point), the point at (0.25,0.25,0.25) is used for sampling. This mode is meant to be used only in molecular dynamics calculations and only with a cubic cell and the C\_1 space group.

For USP (Read Special Points), sampling points are read from file /data/kpoints. The format is

```
-----  
n  
rep  
k1(1) k1(2) k1(3) d(1)  
k2(1) k2(2) k2(3) d(2)  
.  
.  
.  
kn(1) kn(2) kn(3) d(n)  
-----
```

where n is the number of sampling points, rep is the representation (lattice or cartesian),  $k_i(j)$  is the j'th coordinate of the i'th sampling point, and d(i) is the degeneracy. The points are assumed to consistent with the lattice group and space group.

mpparams: Numbers of Brillouin zone sampling points along the three reciprocal lattice vectors.

3 integers;  $-\text{inf} < i < +\text{inf}$ ; no defaults

kpoints\_mod; kpoints\_obj; kp%o%mpp

run aborts

Basically Monkhorst-Pack parameters with shifts of the mesh accomplished by giving one or more negative parameters. Values along two reciprocal lattice vectors related by a point group operation must be the same.

The point at zero for a reciprocal lattice vector is included if the value for that vector is  $< 0$ .

Values 0 and -1 yield the same sampling points as the value 1.

For a hexagonal lattice, sampling points fall on the line through the origin and parallel to the c-axis.

save\_kpoints; .true., .false.; .false.

kpoints\_mod; NA; NA

default is used

The file is saved in the run directory with the name "new\_kpoints". The format is as given above with rep =

"lattice".

Saves are performed only when the kpoints\_mode is GMP (the default setting).

kt: Determines band occupations.

real number; > 0.0; no default

electrons\_mod; electrons\_obj; el%o%kt

run aborts

Fermi function is used to determine band occupations with kt defining the energy broadening

wf\_init: Determines how the Kohn-Sham functions are initialized

character; > RANDOM, DIAGNOSTIC; RANDOM

multivector\_mod; NA; NA

default is used

RANDOM: Fourier coefficients are set to random numbers between -0.5 and +0.5

DIAGNOSTIC: Fourier coefficients are set to Ross Lippert's diagnostic values

## Mesh related tags:

den\_cutoff: Determines the number of plane waves in the fields (e.g. electron density) expansions.

real number;  $\geq 0.0$ ; no default

layout\_mod; layout\_obj; lay%o%cutoff

derived from dims, taken as  $4 * wf\_cutoff$

Expansion includes plane waves for which  $G^2 < den\_cutoff$  where G is a wave vector

dims: Numbers of real-space mesh points along the three lattice vectors.

3 integers; > 0; no defaults

layout\_mod; layout\_obj; lay%o%dims

derived from den\_cutoff (derived values are used if they are larger than dims)

Values along two lattice vectors related by a space-group operation must be the same

Values may be increased to accommodate radix set of the FFT routine

## Iterative solver related tags:

max\_cycles: Maximum number of iterations used to converge the electronic structure.

integer;  $\geq 0$ ; 40  
config\_mod; config\_obj; cfg%o%max\_cycles  
default value used

cvg\_mode: Measure used to determine if the electronic structure is converged.

character; NONE, ENERGY, DENSITY; DENSITY  
config\_mod; config\_obj; cfg%o%cvg\_mode  
default value used

NONE causes iteration to continue up to max\_cycles

ENERGY causes iteration to continue until the total energy is below a tolerance set by cfg%o%energy\_tol

DENSITY causes iteration to continue until the electron density residual is below a tolerance set by cfg%o%dens\_tol

energy\_tol: Tolerance for determining convergence when cfg%o%cvg\_mode = ENERGY.

real number;  $> 0.0$ ;  $1.0e-6$   
config\_mod; config\_obj; cfg%o%energy\_tol  
default value used

The electronic structure is converged when the total energy is below cfg%o%energy\_tol

dens\_tol: Tolerance for determining convergence when cfg%o%cvg\_mode = DENSITY.

real number;  $> 0.0$ ;  $1.0e-8$   
config\_mod; config\_obj; cfg%o%dens\_tol  
default value used

The electronic structure is converged when the electron density residual is below cfg%o%dens\_tol

Eigensolver related tags:

solver\_method: Type of eigensolver to use.

character; CG, GCG, BD; CG  
eigensolver\_mod; eigensolver\_obj; es%o%method  
default is used

CG invokes a conjugate gradients solver

GCG invokes a Grassman conjugate gradients solver

BD invokes a block Davidson solver

solver\_dir: Number of wavefunction updates per call to the eigensolver.

integer; > 0; 2 (es%o%method = CG), 10 (es%o%method = GCG), 10 (es%o%method = BD)

eigsolver\_mod; eigsolver\_obj; es%o%max\_dir  
default is used

solver\_tol: Tolerance used to determine convergence of the eigenfunctions.  
real number; > 0.0; 1.0e-4 (es%o%method = CG), 1.0e-5 (es%o%method = GCG), 1.0e-4 (es%o%method = BD)

eigsolver\_mod; eigsolver\_obj; es%o%res\_tol  
default is used

Tolerance refers to the largest wavefunction residual

remap\_type: Type of routine used to remap wavefunctions.

character; MPI, CUSTOM; CUSTOM

multibasis\_mod; multibasis\_obj; mb%o%remap\_type

default is used

MPI invokes the MPI\_ALLTOALLV routine

CUSTOM invokes the C remap\_2d routine written by Steve Plimpton

## Projector related tags:

projector\_type: Type of non-local projectors to use.

character; RECIPROCAL, REAL; RECIPROCAL

hamiltonian\_mod; h\_common\_obj; hc%o%projector\_type

default value used

RECIPROCAL invokes reciprocal-spaced projectors

REAL invokes real-space projectors constructed using the scheme proposed by King-Smith et al.

projector\_radius\_xxx: Radius used to optimize real-space projectors for atom type xxx.

real number; < radius of sphere which will fit inside the supercell; 4.0

npc\_data\_mod; npc\_data\_obj; pd%o%r\_opt

run aborts

optimization\_points: Number of points used to optimize the real-space projectors.

integer; > 0; 171

npc\_data\_mod; NA; NA

default is used

RESET THIS VALUE ONLY IF YOU UNDERSTAND THE OPTIMIZATION ROUTINE!

## Mixer related tags:

**mix\_field:** Field to be mixed.  
character; DENSITY, POTENTIAL; DENSITY  
fields\_mod; fields\_obj; f%o%mix\_field  
default is used

**mix\_type:** Type of mixing scheme to use.  
character; NONE, SIMPLE, PULAY, ANDERSON; PULAY  
mixer\_mod; mixer\_obj; mx%o%mix\_type  
default is used

**mix\_weight\_pf:** Global proportion of the new field to mix with the old field.  
real number;  $0 < \text{mix\_weight\_pf} \leq 1$ ; 0.8 (SIMPLE), 0.8 (PULAY), 0.8 (ANDERSON)  
mixer\_mod; mixer\_obj; mx%o%weight\_pf  
default is used  
Used only with mix\_type = SIMPLE, PULAY, or ANDERSON.

**mix\_weight:** Determines the distribution of mixing weights for different wave vector coefficients.  
character; CONSTANT, KERKER; CONSTANT  
mixer\_mod; mixer\_obj; mx%o%weight\_type  
default is used  
Used only with mix\_type = SIMPLE, PULAY, or ANDERSON.  
For mix\_weight = CONSTANT, weight is mix\_weight\_pf independent of wave vector.  
For mix\_weight = KERKER, weight depends on wave vector according to mix\_weight\_q.

**mix\_weight\_q:** Wave-vector magnitude at which mixing weight makes a transition from low to high.  
real number;  $\geq 0.0$ ; 0.8 (SIMPLE), 0.8 (PULAY), 0.8 (ANDERSON)  
mixer\_mod; mixer\_obj; mx%o%weight\_q  
default is used  
Used only with mix\_weight = KERKER.  
For wave vector  $G$ , weight is  $\text{mix\_weight\_pf}/(1.0 + q^2/G^2)$

**mix\_metric:** Weighting for the mixing residuals.  
character; UNITY, KERKER; UNITY  
mixer\_mod; mixer\_obj; mx%o%metric\_type  
default is used  
Used only with mix\_type = PULAY or ANDERSON  
For mix\_metric = UNITY, weight is 1.0 independent of wave vector.  
For mix\_weight = KERKER, weight depends on wave vector according to mix\_metric\_q.

`mix_metric_q`: Wave-vector magnitude at which metric weight makes a transition from high to low.

real number;  $\geq 0.0$ ; 0.8 (PULAY), 0.8 (ANDERSON)

`mixer_mod`; `mixer_obj`; `mx%o%weight_q`

default is used

Used only with `mix_metric` = KERKER.

For wave vector  $G$ , weight is  $(1.0 + q^2/G^2)$

`mix_saves`: Maximum number of mixing residuals to save.

integer;  $1 \leq \text{mix\_saves} \leq 20$ ; 5

`mixer_mod`; `mixer_obj`; `mx%o%max_saves`

default is used

Used only with `mix_type` = PULAY or ANDERSON

## Symmetry related tags:

`lattice_symmetry`: Determines how the lattice group is obtained.

character; AUTO, USER; AUTO

`symmetry_mod`; `point_group_obj`; `pg%o%mode` (AUTO or USER)

default is used

For AUTO, the lattice group is generated from the lattice.

For USER, the lattice group is read from file `lgroup` with format given below.

The lattice group is used to close the Monkhorst-Pack k-point mesh.

`symmetry`: Determines whether or not space-group symmetry is used.

character; FULL, AUTO, OFF; AUTO

`symmetry_mod`; `space_group_obj`; `sg%o%mode`

default is used

For FULL, the space group is generated from the lattice group and atom positions. A new space group is generated

when the lattice group or atom positions change.

For AUTO, the space group is generated from the lattice group and atom positions. A new space group is generated

when the lattice group changes and when the old space group is not compatible with new atom positions.

For OFF, the trivial space group ( $C_1$ ) is generated.

The space group (augmented by inversion if it is not already present) is used to reduce the closed Monkhorst-Pack points.

`symmetrize_atoms`: Symmetrizes the starting atom positions.

character; ON, OFF; OFF

`external_mod`; NA; NA

default is used

list\_lattice\_group: Prints the lattice-group operations to diaryf.  
logical; .TRUE., .FALSE.; .FALSE.  
symmetry\_mod; NA; NA  
default is used

list\_space\_group: Prints the space-group operations to diaryf.  
logical; .TRUE., .FALSE.; .FALSE.  
symmetry\_mod; NA; NA  
default is used

save\_lattice\_group; .true., .false.; .false.  
symmetry\_mod; NA; NA  
default is used

The file is saved in the run directory with the name "new\_lgroup". The format is as follows:

```
number_of_point_operators number_of_translations_per_point_operation
<space>
3x3 matrix denoting the first point operator (in lattice representation)
<space>
3x3 matrix denoting the next point operator (in lattice representation)
<space>
.
.
```

save\_space\_group; .true., .false.; .false.  
symmetry\_mod; NA; NA  
default is used

The file is saved in the run directory with the name "new\_sgroup". The format is as follows:

```
# of point operators # of translations per point operation
<space>
3x3 matrix denoting the first point operator (in lattice representation)
first translation for the first point operator
second translation for the first point operator
.
.
last translation for the first point operator
<space>
3x3 matrix denoting the next point operator (in lattice representation)
first translation for the next point operator
second translation for the next point operator
.
.
last translation for the next point operator
<space>
```

## Functional related tags:

functional: Type of exchange-correlation functional to use.  
character; LDA, PW91, PBE, BLYP, YLDA1, YLDA2; LDA  
exc\_mod; xc\_obj; xc%o%method  
default is used

YLDA's are LDA type functionals by Armiento and Mattsson with an alternative separation of exchange and correlation.

YLDA's should give approximately the same results as LDA. Please notify Ann E. Mattsson if not.

correlation: Which LDA correlation to use.  
character; PZ, PW, VWN, LYP; depending on functional (see below)  
exc\_mod; xc\_obj; xc%o%ctype  
default is used (see below)

-----  
functional    default  
LDA -> PZ (PRB 23, 5048 (1981))  
PW91 and PBE -> PW (PRB 45, 13244 (1992))  
BLYP -> LYP (PRB 37, 785 (1988))  
-----

VWN (Can. J. Phys. 58, 1200 (1980)) not used as default.  
Note that YLDA's don't have separate correlation.

functional\_method: Method to calculate the xc potential for non-LDA.  
character; WB, TRAD (see below); WB  
exc\_mod; xc\_obj; xc%o%method  
default is used

TRAD is not implemented yet.

This tag is ignored if used with LDA type functionals.

derivatives: Method to calculate derivatives of the functional.  
character; NUM, ANALYT; depending on functional (see below)  
exc\_mod; xc\_obj; xc%o%dermethod  
default is used (see below)

ANALYT is only available for LDA with PZ or PW correlation where it is also default.

NUM is default for other functionals.

## Atomic-representation related tags:

atomic\_representation: Method for representing atoms.  
character; NCP, PAW; NCP  
atomic\_operators\_mod; atomic\_operators\_obj; ao%type  
default is used

mix\_atomic\_density: Switch to invoke mixing of the PAW atomic density.  
logical; .TRUE., .FALSE.; .FALSE.  
atomic\_operators\_paw\_mod; atomic\_operators\_paw\_obj;  
aops%o%mix\_adens  
default is used

mix\_atomic\_density\_weight: Proportion of the new adens to mix with the old adens.  
real number;  $0 < \text{mix\_atomic\_density\_weight} \leq 1$ ; 0.5  
atomic\_operators\_paw\_mod; atomic\_operators\_paw\_obj;  
aops%o%adens\_mix\_weight  
default is used

## Post-processing related tags:

forces: Controls whether or not the forces are computed automatically  
character; ON, OFF, .TRUE., .FALSE.; OFF  
config\_mod; NA; NA  
default is used  
Note: Even when the forces tag = OFF, the forces will be computed when  
x\_forces(cfg)  
or diary\_forces(cfg) is called.

pressure: Controls whether or not the pressure is computed automatically  
character; ON, OFF, .TRUE., .FALSE.; OFF  
config\_mod; NA; NA  
default is used  
Note: Even when the pressure tag = OFF, the pressure will be computed  
when x\_pressure(cfg)  
or diary\_pressure(cfg) is called.

stress\_tensor: Controls whether or not the stress tensor is computed  
automatically  
character; ON, OFF, .TRUE., .FALSE.; ON

config\_mod; NA; NA  
default is used

Note: Even when the stress\_tensor tag = OFF, the stress\_tensor will be computed when x\_stress\_tensor(cfg) or diary\_stress\_tensor(cfg) is called.

## Restart related tags:

restart: Determines whether or not to restart and how much information to read  
character; OFF, F, FE; OFF

config\_mod; NA; NA  
default is used

OFF: restart information will not be read

F: fields information (atomic and grid densities) will be read

FE: fields and electrons (Kohn-Sham functions) information will be read

EFE: fields, electrons, and external (crystal) information will be read (not currently implemented)

write\_restart: Determines whether or not to write a restart file and how much information to write

character; OFF, F, FE, EFE; OFF

config\_mod; NA; NA  
default is used

OFF: restart information will not be written

F: fields information (atomic and grid densities) will be written

FE: fields and electrons (Kohn-Sham functions) information will be written

EFE: fields, electrons, and external (crystal) information will be written (not currently implemented)

Note: The restart file is written at the end of the cfg constructor. To write a restart file after a

cfg update (from the socorro level), call the public write\_restart(cfg,tag) routine with tag set

to F, FE, or EFE.

## Structural Optimization related tags:

relax\_method

character; NONE, STEEPEST\_DESCENTS, SD,  
CONJUGATE\_GRADIENT, CG, QUENCHED\_MINIMIZATION, QM; NONE

relax\_force\_tol

real number; ; 1.d-3

relax\_max\_steps  
integer;  $0 < \text{relax\_max\_steps} ; 100$

relax\_prefactor  
real number;  $0 < \text{relax\_prefactor}; 1.0$

relax\_time\_step  
real number;  $0 < \text{relax\_time\_step}; 1.0$

## ENERGY MINIMIZATION:

Fixed volume optimization of the atomic positions. All atoms are moved - there is currently no method for constraining specific atoms. This is called by the statement "if (optimize\_lattice(cfg)) call diary(cfg)" in socorro.f90. optimize\_lattice returns a logical that indicates whether any modifications to cfg have occurred. The cfg that is returned corresponds to the optimized positions.

The relevant options in argvf are as follows

relax\_method: Specify the relaxation method to be used.

NONE default Do not perform a structural optimization

STEEPEST\_DESCENTS, SD 'steepest descents' (I have seen different definitions of 'steepest descents'.) What is implemented here is the simple approach of changing the coordinates by  $F \cdot \text{relax\_prefactor}$  where  $F$  is the current force and relax\_prefactor is a parameter that you can set (see below). This is usually NOT the best way to get to a minimum. It was included because it was the obvious first thing to code and is a standard 'brute force' approach to optimization.

CONJUGATE\_GRADIENT, CG 'conjugate gradients'  
Implements a conjugate gradient search for the minimum energy structure. (See Press, et.al, 'Numerical Recipes' for a description of this algorithm.) This is typically the best way to go when the initial positions are close to the minimum.

QUENCHED\_MINIMIZATION, QM 'quenched MD'  
This implements an algorithm described in Della Valle and Andersen, J. Chem. Phys. 97, 2682 (1992). It performs a molecular dynamics simulation using the 'velocity Verlet' algorithm with the following modifications. At each time step and for each particle, the velocity

is reset as follows. If the projection of the force along the velocity is positive, the velocity is replaced by the projection of the velocity along the direction of the force. If the projection is negative, the velocity is set to zero. This will quench the dynamics to the minimum. This approach seems to be best suited for getting close to the minimum when the initial guess may be poor.

`relax_force_tol`: This is the stopping criteria for all methods. The code stops when the root mean square value of the force components is less than this value. default: 1.d-3.

`relax_steps`: This sets a maximum number of force calls that can be made to attempt to find the minimum. Note that in some cases, the actual number of calls may exceed this slightly since it will always try to finish the line minimizations in the conjugate gradient approach. default: 100

`relax_prefactor`: This is the constant used in `relax_method=SD` (see above) Note that the efficiency and stability of this method depends on this choice. If the value is too large, the optimization will become unstable. If it is too small, a large number of force calls is required to get to the minimum. default: 1.0

`relax_time_step`: This is used in `relax_method=QM`. It sets the fixed time step for the MD simulation. default: 1.0 (probably too small for most cases.)

`atom_mass_xxx` This is the mass of the atom in amu used for `quench_minimization`. Here xxx is replaced by the tag used in the crystal file. There should be one line like this for each type. The default is to assume a mass of 1 amu.

## Molecular Dynamics related tags:

`md_method`  
character; NONE, NVE, NVT\_RESCALE, NVT\_ANDERSON,  
NVT\_HOOVER; NONE

`md_time_step`  
real number; 0 < `md_time_step`; 100.

`md_steps`  
integer; 0 <= `md_steps`; 0

md\_skip\_steps  
integer; 0 <= md\_skip\_steps <= md\_steps; 0

md\_init\_temp  
real number; 0 <= md\_init\_temp; 0.

md\_desired\_temp  
real number; 0 <= md\_desired\_temp; md\_init\_temp

md\_temp\_freq  
integer; 0 < md\_temp\_freq; 1

md\_hoover\_mass  
real number; 0 < md\_hoover\_mass; 1000.

md\_gen\_velocities  
character; YES, NO; YES

The code can currently perform either a NVE (constant number, volume and energy) micro-canonical simulation or a NVT (constant number, volume and temperature) simulation. For the later case, a variety of standard thermostat methods are implemented. The initial velocities can be either read from the file "data/initial\_velocity" or are set randomly based on an input temperature. The integration is performed via the 'velocity Verlet' algorithm (see any book on MD simulations) with a fixed time step. Intermediate atomic positions are output to the file 'md\_output' at every time step. The masses are set with the arg parameter atom\_mass\_xxx (see below).

This is called by the statement "if (run\_moldyn(cfg)) call diary(cfg)" in socorro.f90. run\_moldyn returns a logical that indicates whether any modifications to cfg have occurred. If an MD simulation is performed, the cfg returned is that for the final time step.

The relevant parameters are

md\_method: Specify the molecular dynamics method to be used.

NONE (default) - do no MD.

NVE perform a NVE simulation using a fixed time-step velocity Verlet algorithm.

NVT\_RESCALE perform a NVT (isochoric, isothermal) simulation using a

fixed time-step. The temperature control is through periodic rescaling of the velocities to achieve the desired temperature.

NVT\_ANDERSON perform a NVT (isochoric, isothermal) simulation using a fixed time-step. The temperature is controlled via a stochastic method due to Anderson (see H C Anderson, J. Chem. Phys. 72, 2384 (1980)). At each time step and for each atom, a random velocity from a Maxwell-Boltzman distribution replaces the velocity with a probability give by  $1/\text{temp\_freq}$ .

NVT\_HOOVER perform a NVT (isochoric, isothermal) simulation using a fixed time-step. The temperature is controlled using the Hoover implementation of the NosÉ thermostat. (See, for example, "Understanding Molecular Simulations" by Frenkel and Smit). The rate of energy flow between the ions and the heat bath is controlled by `md_hoover_mass`.

`md_time_step` Time step used for the MD. See note below regarding units.  
default: 100.

`md_steps` Number of time steps to integrate the equation of motion.  
default: 0

`md_skip_steps` Number of time steps to ignore before starting to compute averages - in other words  $(\text{skip\_steps}) * (\text{time\_step})$  is an equilibration time. default: 0

`md_init_temp` Temperature used to define the initial velocity distributions. The velocities are selected from a Maxwell-Boltzman distribution. Then they are adjusted to give zero total momentum and rescaled to give the exact temperature requested. default: 0

`md_desired_temp` Target temperature for the isothermal simulation methods.  
default: `md_init_temp`

`md_temp_freq` Parameter that determines the frequency of velocity modifications for the isothermal simulation methods. For NVT\_RESCALE, the velocity is rescaled every `md\_temp\_freq` time steps. For NVT\_ANDERSON, it give the inverse of the probability that an atom will get a random velocity in a given time step.

`atom_mass_xxx` This is the mass of the atom in amu. Here xxx is replaced by the tag used in the crystal file. There should be one line like this for each type. The default is to assume a mass of 1 amu.

md\_hoover\_mass Used by NVT\\_HOOVER. It is the effective mass associated with the additional coordinate added to control the temperature. It may need to be adjusted by trial and error. default: 1000.

md\_gen\_velocities Specify the method for initializing velocities

YES Determine the initial velocities based on md\_init\_temp. (default)

NO Read the initial velocities from the file 'initial\_velocity' in the run directory. This file contains a line with the x, y, and z velocity for each atom on a separate line. The order of the atoms is assumed to be the same as in the crystal file.

## Transition State Finding related tags:

ts\_method  
character; 0, 1; 0

dimer\_separation  
real number;  $0 < \text{dimer\_separation}$ ; 0.1

dimer\_force\_tol  
real number;  $0 < \text{dimer\_force\_tol}$ ; 0.001

dimer\_max\_steps  
integer;  $0 < \text{dimer\_max\_steps}$ ; 100

Currently, there is only one transition state finding method implemented, the 'dimer method'. This is described in detail in Henkelman and JÛnsson, J. Chem. Phys. 111, 7010 (1999). This method attempts to find saddle points with one unstable mode (ie a single negative value of the Hessian matrix.) The trick is to avoid computing the second derivatives of the energy. To do this, two configurations that differ by a fixed distance are considered. (This is the 'dimer'.) The dimer is alternately rotated such that the separation is along the direction of minimum curvature and then translated in the direction of the saddle point. The current implementation does not incorporate all of the sophisticated algorithms for optimization discussed in the paper. Also, there is currently no way to initialize the first orientation of the dimer other than choosing a direction at random. (Waiting on the new I/O routines before implementing this.) This takes a large number of forces calls, especially to get the

first estimate of the dimer orientation.

This is called by the statement

"if (transition\_state(cfg)) call diary(cfg)" in socorro.f90.

transition\_state returns a logical that indicates whether any modifications to cfg have occurred. On return after a transition state search, cfg corresponds to the transition state.

The relevant parameters are the following

ts\_method Specify the transition state method

0 (default) do nothing

1 implement the dimer method

dimer\_separation The magnitude of the real space separation between the two configurations of the dimer. The algorithms are based on the assumption that this is small. Of course, if it is too small, numerical errors can become unacceptable because many of the calculations are based on differences between the calculations for each of the two configurations. default: 0.10

dimer\_force\_tol Stopping criteria which is based on the rms forces on the 'dimer'. Ideally, this will correspond to the net forces at the saddle point. default: 1.d-3

dimer\_max\_steps Maximum number of calculations of the dimer properties before the algorithm stops. Note that each calculation of a dimer property requires 2 electronic structure calculations. In some cases, it may make somewhat more calculations in order to stop at a sensible place. default: 100

#### NOTE ON UNITS:

The convention in the code is that energies are in Rydbergs and that distances are in Bohrs. I have made the choice to have the code work with the nuclear masses in units of the electron mass - keep in the spirit of atomic units. For convenience, when masses are entered, they are assumed to be in amu (atomic mass units) and are converted in the code to electron masses. Having made this choice for the mass unit, the choice of the time unit is now fixed. The unit of time is 3.421E-17 sec. Since a typical MD time step is on the order of a few femtoseconds (fs), the typical time steps will be on the order of 100 in the units used here.

There is a subtlety in the determination of the temperature. In classical thermodynamics (MD is classical in the treatment of the ionic motion), each degree of freedom has a kinetic energy of  $kT/2$ . The issue is related to the number of degrees of freedom. If the MD method used conserves the total momentum, then the number of degrees of freedom is  $3(N-1)$ . If the total momentum is not conserved, the number of degrees of freedom is  $3N$ . The NVE and NVT\_RESCALE methods conserve the total momentum. (Actually, for NVT\_RESCALE the total momentum remains zero if it starts out zero. The initial velocities are generated in the code to have zero total momentum.) For these methods, the code uses  $3(N-1)$  degrees of freedom to determine the temperature. For NVT\_ANDERSON, the total momentum is not conserved, so the code uses  $3N$  degrees of freedom to compute the temperature.